

# Small Progress Measures for Solving Parity Games

Marcin Jurdziński

 BRICS

University of Aarhus  
Denmark

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## Plan

1. Verification of (finite-state systems) by model checking
2. Solving parity games
3. Summary of results on the complexity of solving parity games
4. The new algorithm for solving parity games
  - (a) Progress measures: witnesses for winning strategies
  - (b) Least progress measures: existence and computing
  - (c) Worst-case behaviour

## Model checking for the modal $\mu$ -calculus

Verification of (finite-state) systems by model checking

[Clarke, Emerson 1982; Queille, Sifakis 1982; Emerson, Lei 1986]

Given:

- (a model of) a finite-state system: a Kripke structure  $\mathcal{K}$
- (a description of) a property: a modal  $\mu$ -calculus formula  $\varphi$

Decide: whether  $\mathcal{K} \models \varphi$  holds

Modal  $\mu$ -calculus [Kozen 1983]

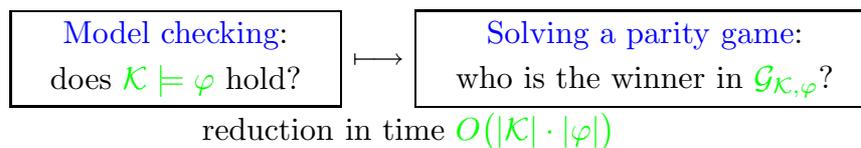
- very expressive
- good compromise between succinctness and complexity
- low-level formalism of many automatic model checking tools

## Model checking games

**Theorem** [Emerson, Jutla, Sistla 1993; Stirling 1995]

The following problems are linear-time equivalent:

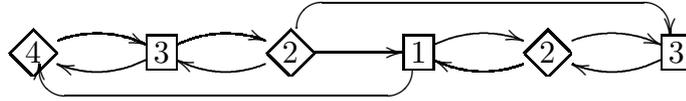
- modal  $\mu$ -calculus model checking
- non-emptiness of parity automata on infinite trees
- solving parity games



## Parity games

Parity game  $G = (V, (V_\diamond, V_\square), E, p)$   $p: V \rightarrow \{1, 2, \dots, d\}$

Example



Play: path  $\pi = \langle v_1, v_2, \dots, v_\ell \rangle$  “closing” a cycle ( $v_k = v_\ell$  for  $k < \ell$ )

Value of a play:  $\text{Val}(\pi) = \min \{ p(v_i) : k < i \leq \ell \}$

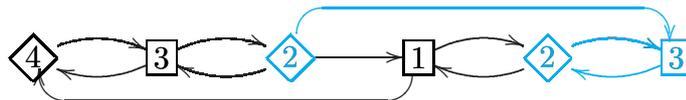
Winning play for player  $\diamond$ :  $\text{Val}(\pi)$  is even

## Strategies

(Memoryless) strategy for  $\diamond$ : a subgraph  $(W, F)$  of  $(V, E)$ , s.t.

- for all  $v \in W \cap V_\diamond$ , there is some  $(v, w) \in F$
- for all  $v \in W \cap V_\square$ , for all  $(v, w) \in E$  we have  $(v, w) \in F$

Example



Winning strategy for  $\diamond$ : all cycles in  $(W, F)$  are “even”

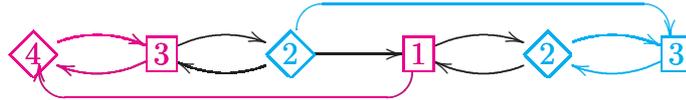
## Solving parity games

**Memoryless Determinacy Thm** [Emerson, Jutla; Mostowski 1991]

For every parity game  $G = (V, (V_\diamond, V_\square), E, p)$ ,

there is a **unique partition**  $(W_\diamond, W_\square)$  of  $V$ , and some  $F_\diamond, F_\square$ , s.t.  $(W_\diamond, F_\diamond)$  and  $(W_\square, F_\square)$  are **winning strategies** for  $\diamond$  and  $\square$ , resp.

Example



Solving a parity game: finding the **winning sets**, i.e.,  $W_\diamond$  and  $W_\square$

## Complexity of solving parity games

**Corollary** [EJS 1993; Zwick, Paterson 1996; J 1998]

Solving parity games is in **NP**  $\cap$  **co-NP**, and even in **UP**  $\cap$  **co-UP**

An **NP** procedure

1. Guess a strategy  $(W_\diamond, F_\diamond)$
2. Check that  $(W_\diamond, F_\diamond)$  is a **winning strategy** for  $\diamond$

## Complexity of solving parity games

### Algorithms

	TIME	SPACE
[EL'86, ..., McN'93, Zie'98]	$O\left(m \cdot \left(\frac{n}{d}\right)^d\right)$	$O(d \cdot n)$
[BCJLM'97, Sei'96]	$O\left(m \cdot \left(\frac{n}{d/2}\right)^{d/2}\right)$	$O\left(m \cdot \left(\frac{n}{d/2}\right)^{d/2}\right)$
<b>This talk</b>	$O\left(m \cdot \left(\frac{n}{d/2}\right)^{d/2}\right)$	$O(d \cdot n)$

where  $n = |V|$ ,  $m = |E|$ , and  $d$  is the number of priorities

## Towards the new algorithm

### An alternative NP procedure

1. Guess a strategy  $(W_\diamond, F_\diamond)$  and a function  $\alpha : W_\diamond \rightarrow \mathbb{N}^d$
2. Check that  $\alpha$  is a **progress measure**

Progress measures are witnesses for winning strategies

**Theorem** [ ... ; Klarlund, Kozen 1991; EJ 1991; Walukiewicz 1996]

There **exists** a **winning strategy**  $(W, F)$  for  $\diamond$  **if and only if** there **exists** a **progress measure**  $\varrho : W \rightarrow \mathbb{N}^d$

## Main ideas behind the new algorithm

1. Existence of “small” progress measures
2. Progress measures as pre-fixed points of monotone maps in a complete lattice of “small” height:
  - (a) yields existence of least progress measures [Walukiewicz 1996]
  - (b) guides the way to efficiently compute them

## Progress measures (1)

Notation:  $(a_1, \dots, a_d) \geq_i (b_1, \dots, b_d)$  iff  $(a_1, \dots, a_i) \geq_{\text{lex}} (b_1, \dots, b_i)$

Let  $\varrho : V \rightarrow (\mathbb{N}^d \cup \{\top\})$ , and  $(v, w) \in E$

Define a predicate  $\text{Prog}(\varrho, (v, w))$  to hold iff

- $\varrho(v) \geq_{p(v)} \varrho(w)$ , and
- if  $p(v)$  is odd then  $\varrho(v) >_{p(v)} \varrho(w)$

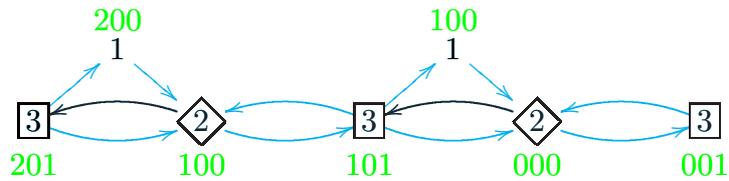
or  $\varrho(v) = \varrho(w) = \top$

## Progress measures (2)

Progress measure is a function  $\varrho : V \rightarrow (\mathbb{N}^d \cup \{\top\})$ , such that for all  $v \in V$ , we have:

- if  $v \in V_\diamond$  then  $\text{Prog}(\varrho, (v, w))$  holds for **some**  $(v, w) \in E$
- if  $v \in V_\square$  then  $\text{Prog}(\varrho, (v, w))$  holds for **all**  $(v, w) \in E$

Example



## Progress measures with small co-domains

**Theorem** (Small progress measure)

If  $\diamond$  has a winning strategy  $(W, F)$  in game  $G = (V, (V_\diamond, V_\square), E, p)$  then there exists a progress measure  $\varrho : V \rightarrow (M_G \cup \{\top\})$  where

$$M_G = ([n_1] \times [0] \times [n_3] \times \cdots \times [0] \times [n_{d-1}] \times [0])$$

and  $n_i = |p^{-1}(i)|$ , and  $[i] = \{0, 1, \dots, i\}$ , and

$$\varrho(w) \neq \top \text{ for all } w \in W$$

## Progress measures with small co-domains

### Theorem

If all cycles in  $(W, F)$  are even then there exists a progress measure  $\varrho : W \rightarrow M_G$

## Small progress measures: proof

- $p^{-1}(1) \neq \emptyset$

**Claim** There are  $U_1 \uplus U_2 = V$ , such that  $(U_1 \times U_2) \cap E = \emptyset$

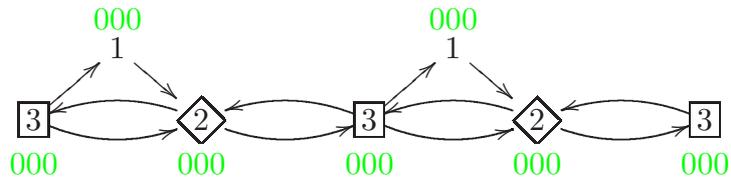
$$\varrho_1 \cup (\varrho_2 + (n'_1, 0, n'_3, 0, \dots))$$

- $p^{-1}(1) = \emptyset, p^{-1}(2) \neq \emptyset$

$$\varrho \cup (\lambda v. (0, \dots, 0))$$

## How to compute (small) progress measures?

Example



$\text{MinProg}(\varrho, (v, w))$ :

the least  $m \in (M_G \cup \{\top\})$  which makes  $\text{Prog}(\varrho[v \mapsto m], (v, w))$  hold

## How to compute (small) progress measures?

$\text{Lift}(\cdot, v) : (V \rightarrow M_G^\top) \rightarrow (V \rightarrow M_G^\top)$  operators:

$$\text{Lift}(\varrho, v)(u) = \begin{cases} \varrho(u) & \text{if } u \neq v \\ \min_{(v,w) \in E} \text{MinProg}(\varrho, (v, w)) & \text{if } u = v \in V_\diamond \\ \max_{(v,w) \in E} \text{MinProg}(\varrho, (v, w)) & \text{if } u = v \in V_\square \end{cases}$$

## Progress measures as pre-fixed points

Point-wise order  $\sqsubseteq$  on  $(V \rightarrow M_G^\top)$ :

$$\varrho \sqsubseteq \varrho' \quad \text{iff} \quad \varrho(v) \leq_{\text{lex}} \varrho'(v) \text{ for all } v \in V$$

**Fact** The operator  $\text{Lift}(\cdot, v)$  is  $\sqsubseteq$ -monotone, for all  $v \in V$

**Fact** A function  $\varrho : V \rightarrow M_G^\top$  is a progress measure **if and only if**  $\varrho$  is a **pre-fixed point** of  $\text{Lift}(\cdot, v)$  operators, for all  $v \in V$

**Corollary** (by Knaster-Tarski Theorem)

- there **exists** the  $\sqsubseteq$ -least progress measure
- it can be **computed** by **iterating**  $\text{Lift}(\cdot, v)$  operators for all  $v \in V$

## The algorithm

### ProgressMeasureLifting

$\mu := \lambda v \in V. (0, \dots, 0)$

**while**  $\mu \sqsubset \text{Lift}(\mu, v)$  **for some**  $v \in V$  **do**  $\mu := \text{Lift}(\mu, v)$

**Space:**  $O(d \cdot n)$

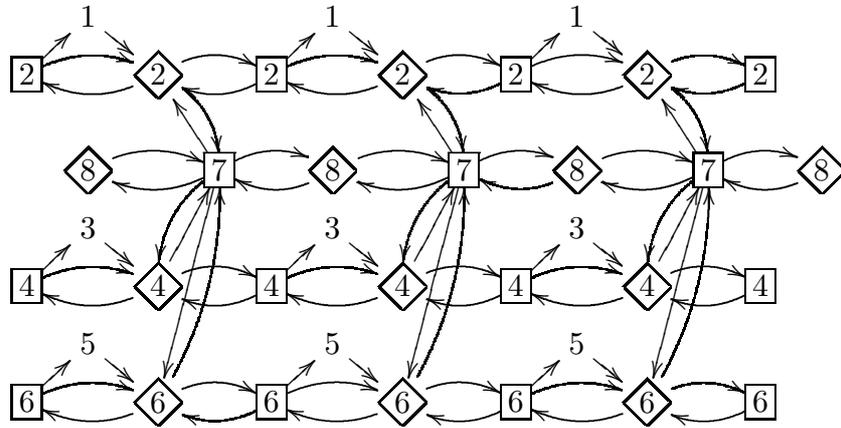
**Time:**  $O\left(\sum_{v \in V} d \cdot \deg(v) \cdot |M_G|\right) = O(d \cdot m \cdot |M_G|)$

$$|M_G| = \prod_{i=1}^{d/2} (n_{2i-1} + 1) \leq \left(\frac{n}{d/2}\right)^{d/2}$$

## Worst-case performance

**Theorem** For all  $d, n \in \mathbb{N}$ , there is a game  $(H_{d/2, n/d})$  of size  $O(n)$  with priorities bounded by  $d$ , on which the algorithm performs at least  $(n/d)^{d/2}$  many lifts, for all lifting policies

Game  $H_{4,3}$ :



## Conclusion

### Main points

- Solving parity games: the **algorithmic essence** of the modal  $\mu$ -calculus **model checking**
- Progress measures: **witnesses** for winning strategies
- Progress measures as pre-fixed points
  - **existence** of **least** progress measures
  - a **guide** for **efficient computation** of witnesses

### Questions

- Is it a **local** model checking algorithm?
- Can it be refined to a **P-time** algorithm?