

# Black-box Identity Testing of Noncommutative Rational Formulas of Inversion Height Two

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Joint work with V. Arvind and Partha Mukhopadhyay

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- The goal is to output a list of evaluations that works for every polynomial.

## Definition (Hitting Set)

We say  $\mathcal{H} \in \mathbb{Q}^n$  is a hitting set for a circuit class  $\mathcal{C} \subseteq \mathbb{Q}[x_1, \dots, x_n]$ , if for every nonzero  $f \in \mathcal{C}$ , there exists some  $(a_1, \dots, a_n) \in \mathcal{H}$  s.t.  $f(a_1, \dots, a_n) \neq 0$ .

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- **Polynomial Identity Lemma** : A randomized polynomial time black-box PIT algorithm for commutative circuits. Derandomizing PIT is open.
- Efficient derandomization is known for some special cases, **ROABP** is of our particular interest.

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## Example

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- [\[Forbes and Shpilka \(2013\)\]](#) Quasipolynomial-size hitting set for noncommutative formulas (and ABPs) s.t.  $f(p_1, \dots, p_n)$  is nonzero.

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- Commutative computation with inverses : admits a canonical representation, each element can be expressed as  $fg^{-1}$  for some  $f, g \in \mathbb{Q}[x_1, \dots, x_n]$ .

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- Unlike commutative setting, it does not have any canonical representation.
- **Inversion height** is the maximum number of nested inverses. Bounded by  $O(\log s)$  for a size  $s$  formula [[HW15](#)].

- **Rational Identity Testing** : Given a noncommutative rational formula, determine if it computes zero in  $\mathbb{Q}\langle x_1, \dots, x_n \rangle$ .

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## Example

$(x + xy^{-1}x)^{-1} + (x + y)^{-1} - x^{-1}$ , known as Hua's identity [Hua (1949)], is zero in the free skew-field.

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- Derandomization of black-box RIT is open.
- Can we derandomize even for rational formulas of bounded inversion height?

## Theorem (RIT of inversion height two)

*We can construct a quasipolynomial-size hitting set for the class of noncommutative rational formulas of inversion height two.*

- Let  $r(x_1, \dots, x_n)$  is the input rational formula of size  $s$  and  $r$  is defined at  $(a_1, \dots, a_n) \in \mathbb{Q}^n$ .

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 $(f(x + a))^{-1} = (f(a) + rest)^{-1}$ .

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$r$  may not be defined at any  $(a_1, \dots, a_n) \in \mathbb{Q}^n$ , for example,  $r = (x_1x_2 - x_2x_1)^{-1}$ .

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- It produces terms  $p_1x_2p_3x_4, p_1x_2x_3p_4$  etc where  $p_1x_1p_2x_2$  and  $p_1p_2x_1x_2$  are two different words.

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- These are called **generalized monomials** and studied by [Volčič \(2018\)](#). Generalized series and generalized polynomial are defined accordingly.
- We can define a generalized ABP (or an automaton) over  $\text{Mat}_m(\mathbb{Q})$  where the edge labels are of form  $\sum p_i x_i q_i$  for some  $p_i, q_i \in \text{Mat}_m(\mathbb{Q})$ .

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- Identity testing of a generalized ABP over  $\text{Mat}_m(\mathbb{Q})$  reduces to PIT of  $m \times m$  matrix of noncommutative ABPs.

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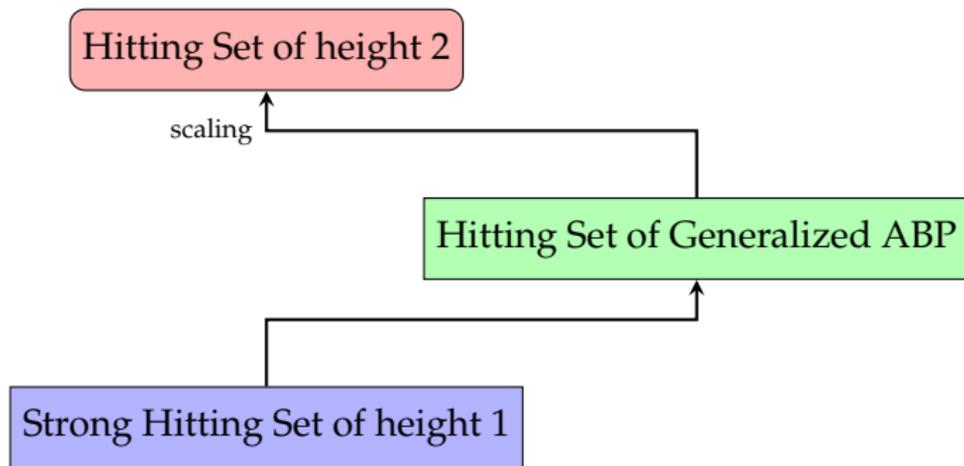
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- Our refined goal is now to construct a strong hitting set for rational formulas of inversion height one.



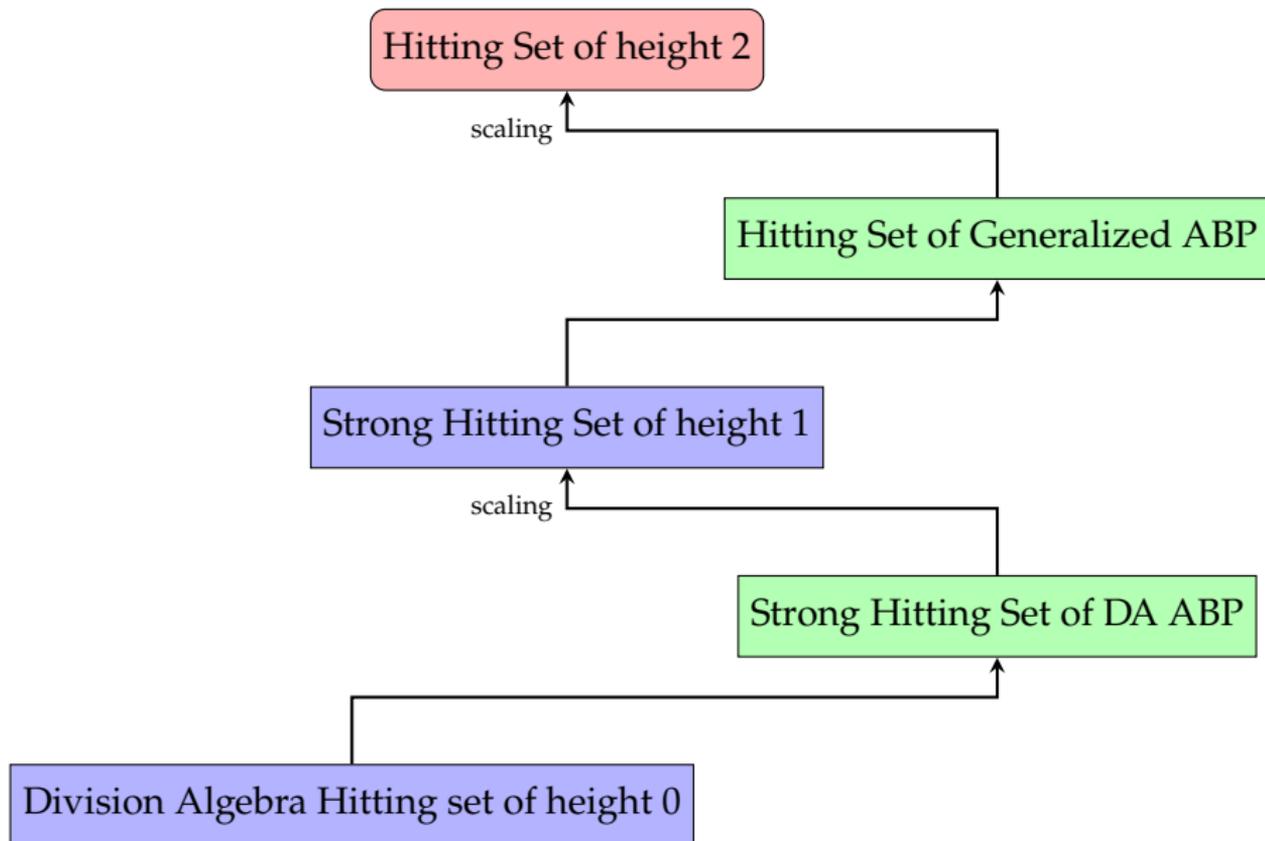
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- Refined goal is to compute **a division algebra hitting set for noncommutative formulas**.



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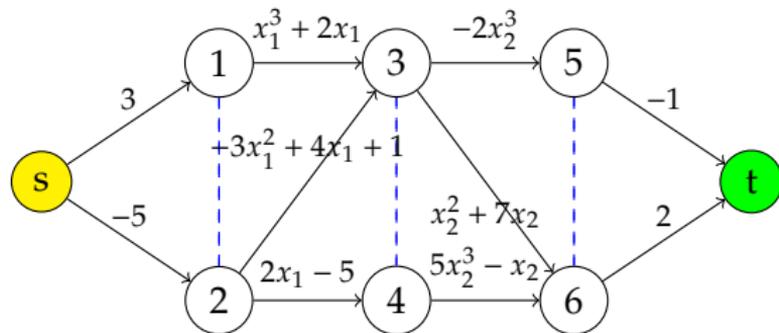


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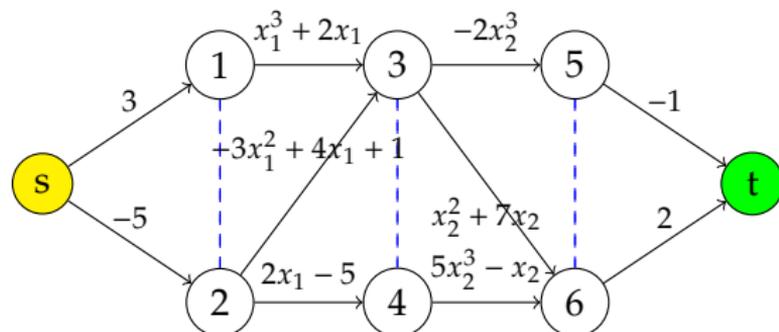


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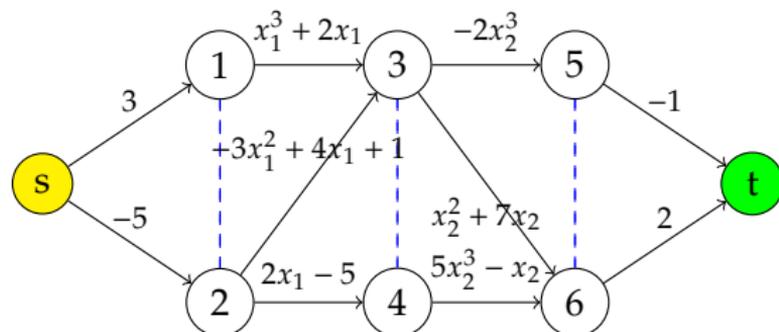


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$$M_i = \begin{bmatrix} 0 & z_1^i & 0 & \cdots & 0 \\ 0 & 0 & z_2^i & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & z_d^i \\ 0 & 0 & \cdots & 0 & 0 \end{bmatrix},$$

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$F : \mathbb{Q}(z)$  where  $z$  is a new commuting indeterminate.

$K : F(\omega)$  where  $\omega : \ell^{\text{th}}$  primitive roots of unity ( $\omega^\ell = 1$ ).

$\sigma(\omega) = \omega^k$  where  $k$  is relatively prime to  $\ell$  ( $\sigma : K \rightarrow K$  is an automorphism that fixes  $F$ ).

$$M = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & 1 \\ z & 0 & \cdots & 0 & 0 \end{bmatrix}, \quad N = \begin{bmatrix} \omega & 0 & 0 & 0 & 0 \\ 0 & \sigma(\omega) & 0 & 0 & 0 \\ 0 & 0 & \ddots & 0 & 0 \\ 0 & 0 & 0 & \sigma^{\ell-2}(\omega) & 0 \\ 0 & 0 & 0 & 0 & \sigma^{\ell-1}(\omega) \end{bmatrix}.$$

$D : F$ -linear combination of  $M^i N^j$  (wlog  $0 \leq i, j \leq \ell - 1$ ).

$D = (K/F, \sigma, z) : \text{Cyclic division algebra of index } \ell$ .

# Division Algebra HS for noncommutative formulas

Matrix representation of a division algebra element:

$$\begin{bmatrix} 0 & b & 0 & \cdots & 0 \\ 0 & 0 & \sigma(b) & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & \sigma^{\ell-2}(b) \\ z\sigma^{\ell-1}(b) & 0 & \cdots & 0 & 0 \end{bmatrix}$$

Matrix representation of Forbes-Shpilka hitting set:

$$\begin{bmatrix} 0 & f_1^i(\bar{\alpha}) & 0 & \cdots & 0 \\ 0 & 0 & f_2^i(\bar{\alpha}) & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & f_D^i(\bar{\alpha}) \\ 0 & 0 & \cdots & 0 & 0 \end{bmatrix}.$$

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The goal is to find  $\omega$  and  $\sigma$  such that each  $f_j(\bar{\alpha})$  is in  $K = F(\omega)$  and  $\sigma(f_j(\bar{\alpha})) = f_{j+1}(\bar{\alpha})$ .

# Division Algebra HS for noncommutative formulas

Matrix representation of our hitting set over  $\mathbb{Q}(\omega, z)$ :

$$M(x_i) = \left[ \begin{array}{ccccc|ccc} 0 & f_0^i(\bar{\alpha}) & 0 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 0 & f_1^i(\bar{\alpha}) & \cdots & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & f_{D-1}^i(\bar{\alpha}) & 0 & \cdots & 0 \\ 0 & 0 & 0 & \cdots & 0 & f_D^i(\bar{\alpha}) & \cdots & 0 \\ \hline \vdots & \vdots & \ddots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & \cdots & f_{\ell-2}^i(\bar{\alpha}) \\ z f_{\ell-1}^i(\bar{\alpha}) & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 \end{array} \right].$$

# Strong HS for a division algebra ABP

- Every nonzero generalized ABP over a division algebra has a witness of form:

$$M(x_k) = \begin{bmatrix} 0 & p_{k1} & 0 & \cdots & 0 \\ 0 & 0 & p_{k2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & p_{k(d-1)} \\ p_{kd} & 0 & \cdots & 0 & 0 \end{bmatrix}.$$

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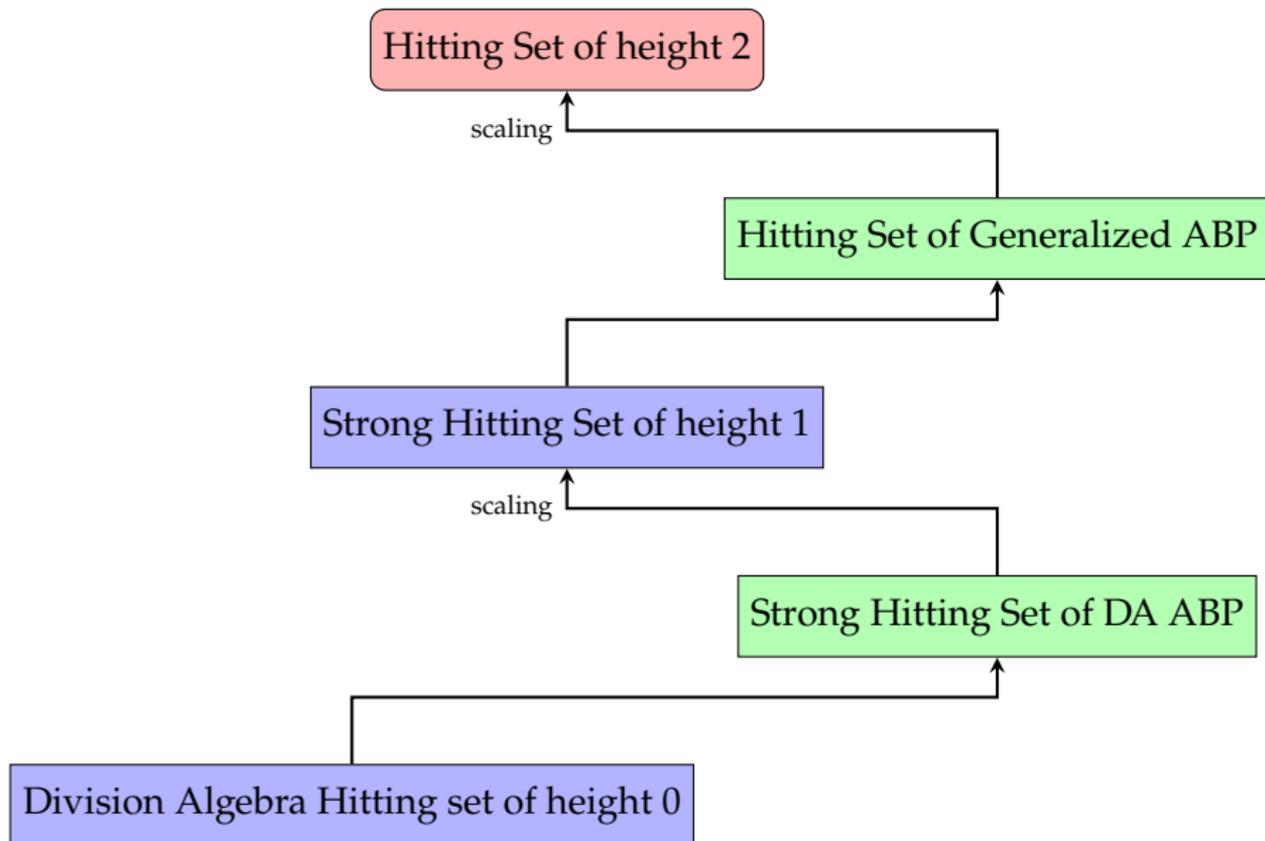
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- Finding invertible image reduces to ROABP PIT.



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Can we embed the strong hitting set inside a larger dimensional division algebra and continue the induction?

Thank You